EXTRESS MAIL CERTIFICATE: EL988554531US

date set forth below as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

Alexandaja, VA 22313-1450.

Date of Signature

and Deposit: November

½, 2003

Signature of

rson depositing mail)

Unassigned

Unassigned

MARITZA O'NEILL

(Typed/Printed Name)

Art Unit:

Examiner:

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10

Applicants:

Newman et al.

Serial No.:

10/611,780

Filed:

June 30, 2003

For:

BARIUM CADMIUM TANTALUM-BASED COMPOUND HAVING HIGH DIELECTRIC

PROPERTIES AND METHODS OF MAKING

THE SAME

Attorney Docket No.: 130588.00011

Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Type of Filing:

- 1) Information Disclosure Statement
- 2) Form PTO-1449
- 3) Copy of International Search Report
- 4) References (8)
- 5) Return receipt postcard



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Newman et al.

Serial No.:

10/611,780

Filed:

June 30, 2003

For:

BARIUM CADMIUM TANTALUM-BASED COMPOUND HAVING HIGH DIELECTRIC

PROPERTIES AND METHODS OF MAKING

THE SAME

Art Unit:

Unassigned

Examiner:

Unassigned

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97

Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313

Dear Sir:

Applicant hereby brings to the attention of the Examiner the documents noted on the accompanying Form PTO-1449. This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action.

Please note, the information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Specifically, the information was cited in an International Search Report (ISR) and was mailed September 29, 2003. A copy of the ISR is provided.

It is respectfully requested that the information cited herein be expressly considered during the prosecution of this application and made of record on any patent to issue therefrom.

U.S. Application Serial No. 10/611,780 Newman *et al.*

No fee is believed due with this mailing. The Commissioner is hereby authorized to charge any cost that may be due to Deposit Account No. 17-0055.

Respectfully submitted,

QUARLES & BRADY STREICH LANG, LLP

Robert D. Atkins Reg. No. 34,288

Attorney Docket No. 130588.00011 Address all correspondence to: Robert D. Atkins

One Renaissance Square
Two North Central Avenue

Phoenix, AZ 85004 Telephone: 602-229-5200

Facsimile: 602-229-5361 Email: ratkins@quarles.com NOV 0 7 2003 %

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/611,780			
Filing Date	6/30/03			
First Named Inventor	Nathan Newman			
Art Unit				
Examiner Name				
Attorney Docket Number	130588 00011			

	U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Α	^{US-} 3.582.729	06/01/71	Girard, R.T.		
	В	^{US-} 4,200,701	04/29/80	Wetton et al.		
	С	^{US-} 4.734.390	03/29/88	Marchand et al.		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-	,			
		US-				

	Cite No.1	Da	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
			MM-DD-YYYY			
	D	EP 09195040	07/29/97			
	Е	DE 2001290	08/12/71			
	F	FR 2074648	10/08/71			
	G	GB 1291761	04/10/72			
	Н	WO 02/056669 A2	07/25/02			

Examiner	Da	ate	
Signature	Cc	onsidered	
•		I	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.